



Practitioner's Docket No. 1210-001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David Annis  
Serial No.: 10/796,555  
Filed: March 9, 2004  
For: AQUARIUM SYSTEM

Group Art Unit: 3644  
Examiner: Abbott, Yvonne

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit this statement in accordance with their duty of disclosure under 37 CFR 1.56 and 1.97-1.98. The submission made herewith is in no way intended as an admission that the cited items constitute material prior art or otherwise would render the claims unpatentable in any way. The submission also is in no way intended to substitute for the Examiner's own independent investigation.

A listing of the references on forms PTO/SB/08A are submitted herewith. Copies of the U.S. references have not been provided because its filing date falls after June 30, 2003. Applicants respectfully solicit the Examiner's consideration of the cited references and entry thereof into the record of this application.

If additional fees are due with the filing of this paper, please charge the amount to deposit account 50-1097.

Date:

Aug 27, 2004

James M. McPherson  
Registration No. 53,306  
Dobrusin & Thennisch PC  
401 South Old Woodward Ave., Ste. 311  
Birmingham, MI 48009  
248-593-9900  
Customer No. 25215

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- [x] deposited with the United States Postal Service in an envelope with sufficient postage as "Express Mail Post Office to Addressee" Mail Label No. EV530032544US addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: 8-27-04

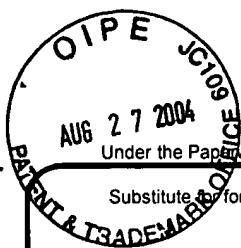
FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Wendy Morgan  
Signature

Wendy Morgan

(type or print name of person certifying)



Substitute form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

Application Number	10/796,555
Filing Date	03/09/2004
First Named Inventor	David Annis
Art Unit	3644
Examiner Name	Abbott, Y.
Attorney Docket Number	1210-001

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5649757	07/22/1997	Aleman, et al.	
		US- 5353746	10/11/1994	Del Rosario	
		US- 5471952	12/05/1995	Tsuchiya	
		US- 5089940	02/18/1992	Lanzarone, et al.	
		US- 4078522	03/14/1978	Akers	
		US- 5211469	05/18/1993	Matthias, et al.	
		US- 5167445	12/01/1992	Linnenkamp, et al.	
		US- 3730138	05/01/1973	Suchowski	
		US- 4820556	04/11/1989	Goldman, et al.	
		US- 5690053	11/25/1997	Strange Jr.	
		US- 6564748	05/20/2003	Olsson, et al.	
		US- 4083528	04/11/1978	Anthony Jr., et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.